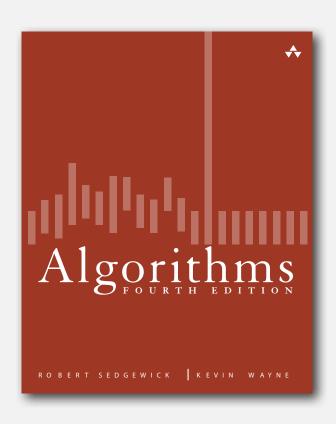
2.4 PRIORITY QUEUES



- **API**
- elementary implementations
- binary heaps
- heapsort
- event-driven simulation

► API

- elementary implementations
- binary heaps
- heapsort
- event-driven simulation

Priority queue

Collections. Insert and delete items. Which item to delete?

Stack. Remove the item most recently added.

Queue. Remove the item least recently added.

Randomized queue. Remove a random item.

Priority queue. Remove the largest (or smallest) item.

operation	argument	return value
insert	Р	
insert	Q	
insert	E	
remove max		Q
insert	X	
insert	Α	
insert	M	
remove max		X
insert	Р	
insert	L	
insert	Е	
remove max		Р

Priority queue API

Requirement. Generic items are comparable.

(bounded type parameter)								
public class MaxPQ <key comparable<key="" extends="">></key>								
	MaxPQ()	create an empty priority queue						
	MaxPQ(Key[] a)	create a priority queue with given keys						
void	insert(Key v)	insert a key into the priority queue						
Key	delMax()	return and remove the largest key						
boolean	isEmpty()	is the priority queue empty?						
Key	max()	return the largest key						
int	size()	number of entries in the priority queue						

Key must be Comparable

Priority queue applications

• Event-driven simulation. [customers in a line, colliding particles]

Numerical computation. [reducing roundoff error]

Data compression. [Huffman codes]

Graph searching. [Dijkstra's algorithm, Prim's algorithm]

Computational number theory. [sum of powers]

Artificial intelligence. [A* search]

Statistics. [maintain largest M values in a sequence]

Operating systems. [load balancing, interrupt handling]

Discrete optimization. [bin packing, scheduling]

Spam filtering. [Bayesian spam filter]

Generalizes: stack, queue, randomized queue.

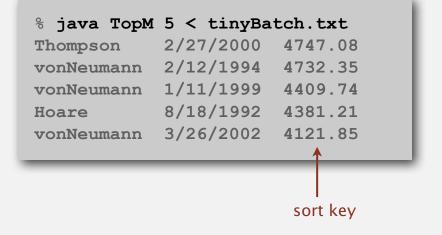
Priority queue client example

Challenge. Find the largest M items in a stream of N items (N huge, M large).

- Fraud detection: isolate \$\$ transactions.
- File maintenance: find biggest files or directories.

Constraint. Not enough memory to store N items.

% more tiny	Batch.txt	
Turing	6/17/1990	644.08
vonNeumann	3/26/2002	4121.85
Dijkstra	8/22/2007	2678.40
vonNeumann	1/11/1999	4409.74
Dijkstra	11/18/1995	837.42
Hoare	5/10/1993	3229.27
vonNeumann	2/12/1994	4732.35
Hoare	8/18/1992	4381.21
Turing	1/11/2002	66.10
Thompson	2/27/2000	4747.08
Turing	2/11/1991	2156.86
Hoare	8/12/2003	1025.70
vonNeumann	10/13/1993	2520.97
Dijkstra	9/10/2000	708.95
Turing	10/12/1993	3532.36
Hoare	2/10/2005	4050.20



Priority queue client example

Challenge. Find the largest M items in a stream of N items (N huge, M large).

order of growth of finding the largest M in a stream of N items

implementation	time	space		
sort	N log N	N		
elementary PQ	MN	М		
binary heap	N log M	М		
best in theory	N	M		

▶ API

- elementary implementations
- binary heaps
- heapsort
- event-driven simulation

Priority queue: unordered and ordered array implementation

operation	argument	return value	size	(;		tents dere								tents ered				
insert	Р		1	Р							F)						
insert	Q		2	Р	Q						F		Q					
insert	Ē		3	Р	Q	Ε					E		P	Q				
remove max		Q	2	Р	Ě						E		P	•				
insert	X	-	3	Р	Ε	X					E	:	Р	X				
insert	Α		4	Р	Ε	X	Α				A	\	Ε	Р	X			
insert	M		5	Р	Ε	X	Α	M			A	\	Ε	M	Р	X		
remove max		X	4	Р	Ε	M	Α				A	\	Ε	M	Р			
insert	Р		5	Р	Ε	M	Α	P			A	\	Ε	M	Р	P		
insert	L		6	Р	Ε	M	Α	Р	L		A	\	Ε	L	M	Р	Р	
insert	Ε		7	Р	Ε	M	Α	Р	L	Ε	A	\	Ε	Ε	L	M	Р	F
remove max		Р	6	Ε	M	Α	Р	L	Ε		A	\	Ε	Ε	L	M	Р	
	A sequence of operations on a priority queue																	

Priority queue: unordered array implementation

```
public class UnorderedMaxPQ<Key extends Comparable<Key>>
   private Key[] pq; // pq[i] = ith element on pq
   private int N;  // number of elements on pq
                                                                     no generic
   public UnorderedMaxPQ(int capacity)
                                                                    array creation
   { pq = (Key[]) new Comparable[capacity]; }
   public boolean isEmpty()
   { return N == 0; }
   public void insert(Key x)
   {pq[N++] = x;}
   public Key delMax()
      int max = 0;
      for (int i = 1; i < N; i++)
                                                                    less() and exch()
         if (less(max, i)) max = i;
                                                                  similar to sorting methods
      exch(max, N-1);
      return pq[--N];
                             null out entry
                           to prevent loitering
```

Priority queue elementary implementations

Challenge. Implement all operations efficiently.

order-of-growth of running time for priority queue with N items

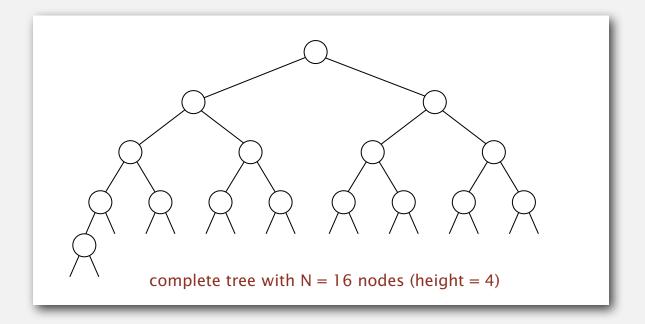
implementation	insert	del max	max
unordered array	1	N	N
ordered array	N	1	1
goal	log N	log N	log N

- ► AP
- elementary implementations
- binary heaps
- heapsort
- event-driven simulation

Binary tree

Binary tree. Empty or node with links to left and right binary trees.

Complete tree. Perfectly balanced, except for bottom level.



Property. Height of complete tree with N nodes is $\lfloor \lg N \rfloor$.

Pf. Height only increases when N is a power of 2.

A complete binary tree in nature



Binary heap representations

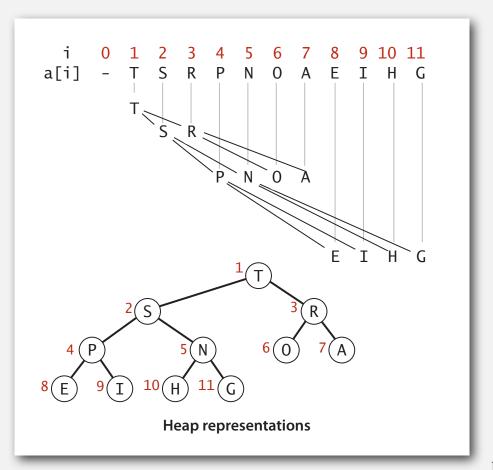
Binary heap. Array representation of a heap-ordered complete binary tree.

Heap-ordered binary tree.

- Keys in nodes.
- Parent's key no smaller than children's keys.

Array representation.

- Take nodes in level order.
- No explicit links needed!



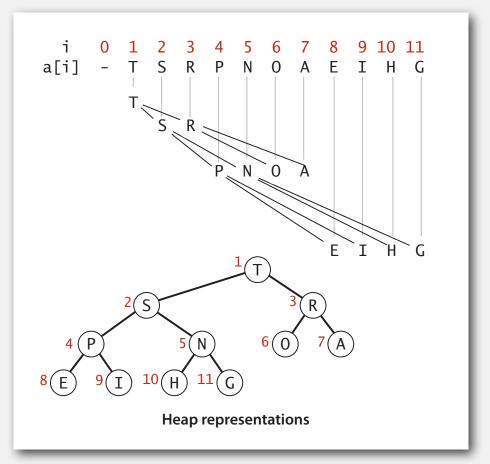
Binary heap properties

Proposition. Largest key is a[1], which is root of binary tree.

indices start at 1

Proposition. Can use array indices to move through tree.

- Parent of node at k is at k/2.
- Children of node at k are at 2k and 2k+1.



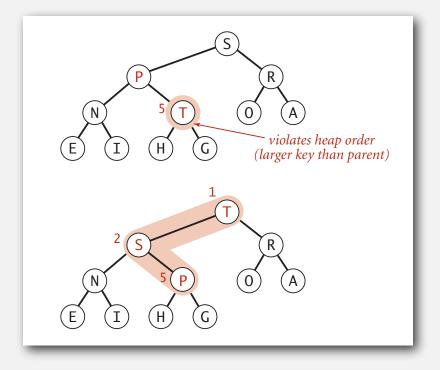
Promotion in a heap

Scenario. Child's key becomes larger key than its parent's key.

To eliminate the violation:

- Exchange key in child with key in parent.
- Repeat until heap order restored.

```
private void swim(int k)
{
    while (k > 1 && less(k/2, k))
    {
       exch(k, k/2);
       k = k/2;
    }
    parent of node at k is at k/2
}
```

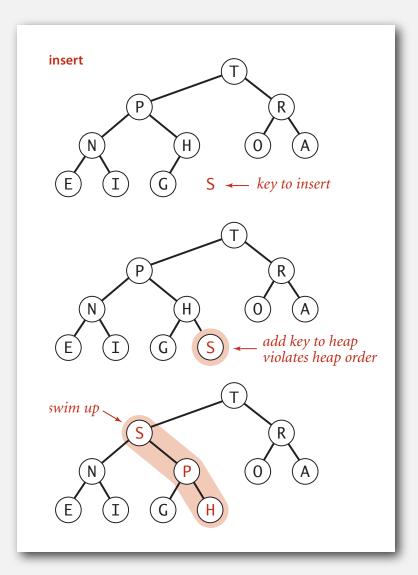


Peter principle. Node promoted to level of incompetence.

Insertion in a heap

Insert. Add node at end, then swim it up. Cost. At most $1 + \lg N$ compares.

```
public void insert(Key x)
{
    pq[++N] = x;
    swim(N);
}
```



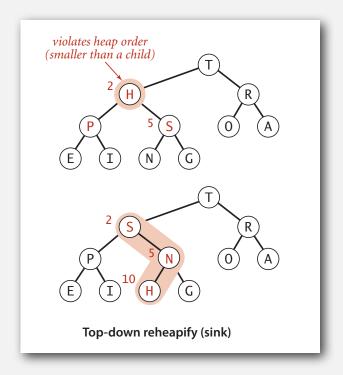
Demotion in a heap

Scenario. Parent's key becomes smaller than one (or both) of its children's keys.

To eliminate the violation:

why not smaller child?

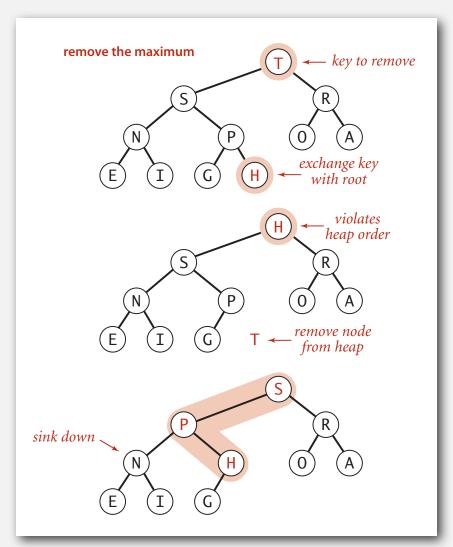
- Exchange key in parent with key in larger child.
- Repeat until heap order restored.



Power struggle. Better subordinate promoted.

Delete the maximum in a heap

Delete max. Exchange root with node at end, then sink it down. Cost. At most $2 \lg N$ compares.



Binary heap demo

Binary heap: Java implementation

```
public class MaxPQ<Key extends Comparable<Key>>
  private Key[] pq;
  private int N;
  public MaxPQ(int capacity)
   { pq = (Key[]) new Comparable[capacity+1]; }
  public boolean isEmpty()
   { return N == 0; }
                                                          PQ ops
  public void insert(Key key)
   { /* see previous code */ }
  public Key delMax()
   { /* see previous code */ }
  private void swim(int k)
   { /* see previous code */ }
                                                          heap helper functions
  private void sink(int k)
   { /* see previous code */ }
  private boolean less(int i, int j)
                                                          array helper
       return pq[i].compareTo(pq[j] < 0; }</pre>
                                                          functions
  private void exch(int i, int j)
   { Key t = pq[i]; pq[i] = pq[j]; pq[j] = t; }
```

Priority queues implementation cost summary

order-of-growth of running time for priority queue with N items

implementation	insert	del max	max
unordered array	1	N	N
ordered array	N	1	1
binary heap	log N	log N	1
d-ary heap	log _d N	d log _d N	1
Fibonacci	1	log N †	1
impossible	1	1	1

why impossible?

† amortized

Binary heap considerations

Immutability of keys.

- Assumption: client does not change keys while they're on the PQ.
- Best practice: use immutable keys.

Underflow and overflow.

- Underflow: throw exception if deleting from empty PQ.
- Overflow: add no-arg constructor and use resizing array.

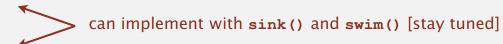
leads to log N amortized time per op

Minimum-oriented priority queue.

- Replace less() with greater().
- Implement greater().

Other operations.

- Remove an arbitrary item.
- Change the priority of an item.



Immutability: implementing in Java

Data type. Set of values and operations on those values.

Immutable data type. Can't change the data type value once created.

```
public final class Vector {
    private final int N;
    private final double[] data;

public Vector(double[] data) {
    this.N = data.length;
    this.data = new double[N];
    for (int i = 0; i < N; i++)
        this.data[i] = data[i];
    }

...

instance wariables

instance wariables

instance wariables

instance variables

instance variables

instance wariables

instance wariables</pre>
```

Immutable. String, Integer, Double, Color, Vector, Transaction, Point2D. Mutable. StringBuilder, Stack, Counter, Java array.

Immutability: properties

Data type. Set of values and operations on those values.

Immutable data type. Can't change the data type value once created.

Advantages.

- Simplifies debugging.
- Safer in presence of hostile code.
- Simplifies concurrent programming.
- Safe to use as key in priority queue or symbol table.



Disadvantage. Must create new object for each data type value.

- "Classes should be immutable unless there's a very good reason to make them mutable.... If a class cannot be made immutable, you should still limit its mutability as much as possible."
 - Joshua Bloch (Java architect)

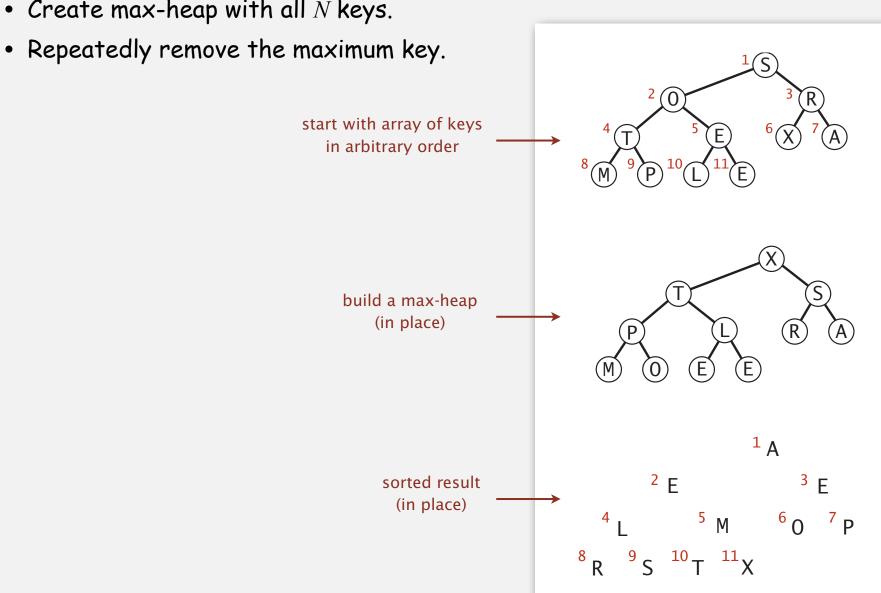


- ▶ API
- elementary implementations
- ▶ binary heaps
- ▶ heapsort
- event-driven simulation

Heapsort

Basic plan for in-place sort.

 \bullet Create max-heap with all N keys.

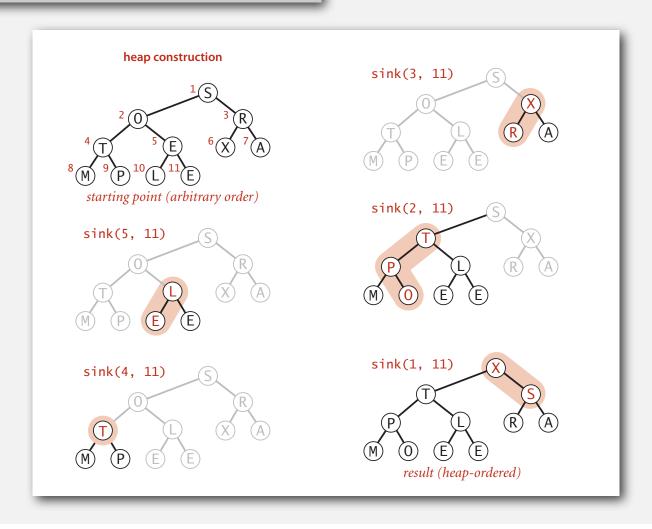


Heapsort demo

Heapsort: heap construction

First pass. Build heap using bottom-up method.

```
for (int k = N/2; k \ge 1; k--) sink(a, k, N);
```

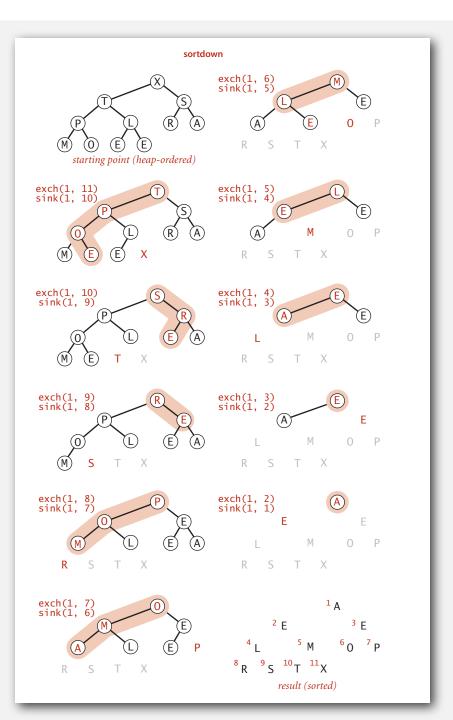


Heapsort: sortdown

Second pass.

- Remove the maximum, one at a time.
- · Leave in array, instead of nulling out.

```
while (N > 1)
{
   exch(a, 1, N--);
   sink(a, 1, N);
}
```



Heapsort: Java implementation

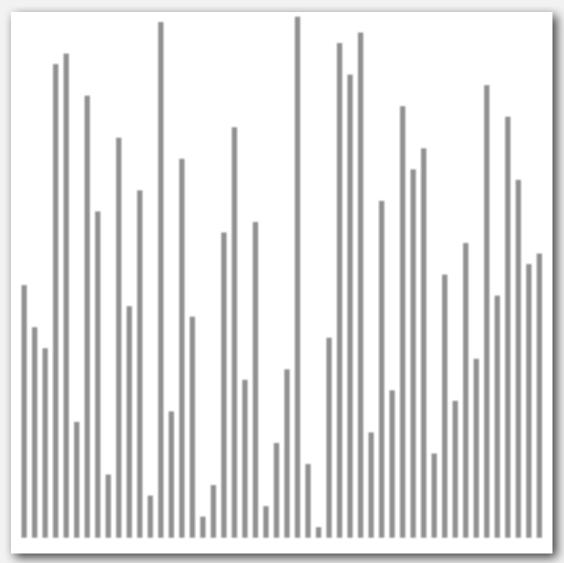
```
public class Heap
   public static void sort(Comparable[] pq)
      int N = pq.length;
      for (int k = N/2; k >= 1; k--)
         sink(pq, k, N);
      while (N > 1)
         exch(pq, 1, N);
         sink(pq, 1, --N);
   }
   private static void sink(Comparable[] pq, int k, int N)
   { /* as before */ }
   private static boolean less(Comparable[] pq, int i, int j)
   { /* as before */ }
   private static void exch(Comparable[] pq, int i, int j)
   { /* as before */
                                but convert from
                               1-based indexing to
                                 0-base indexing
```

Heapsort: trace

```
a[i]
   Ν
        k
                     2
                                            8
                                                9 10 11
                        3
                 S
                     0
                        R
                                Ε
                                    Χ
                                            M
initial values
  11
        5
  11
  11
  11
  11
        1
heap-ordered
                                            M
  10
        1
                                            M
        1
   8
        1
   7
        1
   6
        1
   5
        1
        1
   3
        1
   2
        1
   1
        1
 sorted result
                                M
                                    0
                                        Р
                                            R
       Heapsort trace (array contents just after each sink)
```

Heapsort animation

50 random items



http://www.sorting-algorithms.com/heap-sort

algorithm position
in order
not in order

Heapsort: mathematical analysis

Proposition. Heap construction uses fewer than 2N compares and exchanges. Proposition. Heapsort uses at most $2N \lg N$ compares and exchanges.

Significance. In-place sorting algorithm with $N \log N$ worst-case.

- Mergesort: no, linear extra space. in-place merge possible, not practical
- Quicksort: no, quadratic time in worst case.

 N log N worst-case quicksort possible, not practical
- Heapsort: yes!

Bottom line. Heapsort is optimal for both time and space, but:

- Inner loop longer than quicksort's.
- Makes poor use of cache memory.
- Not stable.

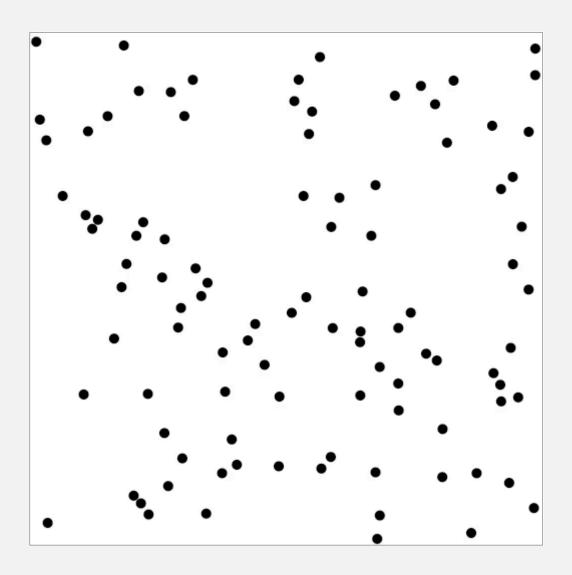
Sorting algorithms: summary

	inplace?	stable?	worst	average	best	remarks
selection	x		N ² /2	N ² /2	N ² /2	N exchanges
insertion	x	x	N ² / 2	N ² / 4	N	use for small N or partially ordered
shell	x		?	?	N	tight code, subquadratic
quick	x		N ² / 2	2 N In N	N lg N	N log N probabilistic guarantee fastest in practice
3-way quick	x		N ² / 2	2 N In N	N	improves quicksort in presence of duplicate keys
merge		x	N lg N	N lg N	N lg N	N log N guarantee, stable
heap	x		2 N lg N	2 N lg N	N lg N	N log N guarantee, in-place
???	х	x	N lg N	N lg N	N lg N	holy sorting grail

- **AP**
- elementary implementations
- binary heaps
- heapsort
- event-driven simulation

Molecular dynamics simulation of hard discs

Goal. Simulate the motion of N moving particles that behave according to the laws of elastic collision.

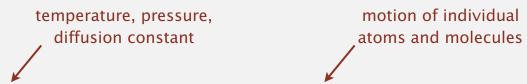


Molecular dynamics simulation of hard discs

Goal. Simulate the motion of N moving particles that behave according to the laws of elastic collision.

Hard disc model.

- Moving particles interact via elastic collisions with each other and walls.
- Each particle is a disc with known position, velocity, mass, and radius.
- No other forces.



Significance. Relates macroscopic observables to microscopic dynamics.

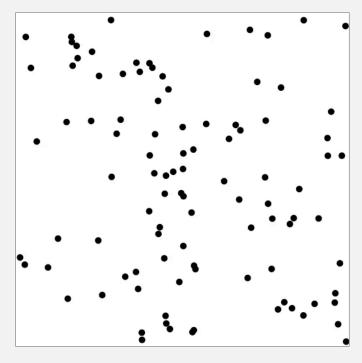
- Maxwell-Boltzmann: distribution of speeds as a function of temperature.
- Einstein: explain Brownian motion of pollen grains.

Warmup: bouncing balls

Time-driven simulation. N bouncing balls in the unit square.

```
public class BouncingBalls
   public static void main(String[] args)
      int N = Integer.parseInt(args[0]);
      Ball balls[] = new Ball[N];
      for (int i = 0; i < N; i++)
         balls[i] = new Ball();
      while(true)
         StdDraw.clear();
         for (int i = 0; i < N; i++)
            balls[i].move(0.5);
            balls[i].draw();
         StdDraw.show(50);
                            main simulation loop
```

% java BouncingBalls 100



Warmup: bouncing balls

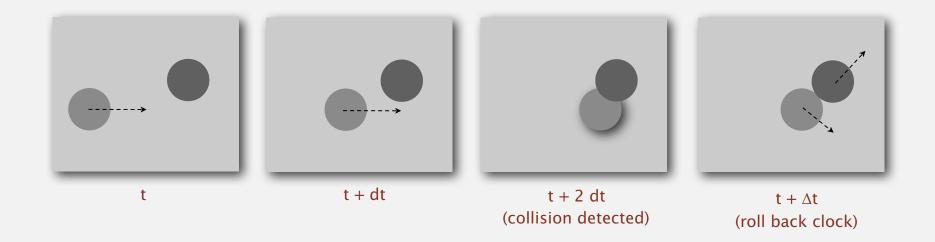
```
public class Ball
   private double vx, vy;  // velocity
   private final double radius; // radius
   public Ball()
                                                    check for collision with walls
    { /* initialize position and velocity */ }
   public void move(double dt)
       if ((rx + vx*dt < radius) \mid | (rx + vx*dt > 1.0 - radius)) { vx = -vx; }
       if ((ry + vy*dt < radius) \mid | (ry + vy*dt > 1.0 - radius)) { vy = -vy; }
       rx = rx + vx*dt;
       ry = ry + vy*dt;
   public void draw()
    { StdDraw.filledCircle(rx, ry, radius); }
```

Missing. Check for balls colliding with each other.

- Physics problems: when? what effect?
- CS problems: which object does the check? too many checks?

Time-driven simulation

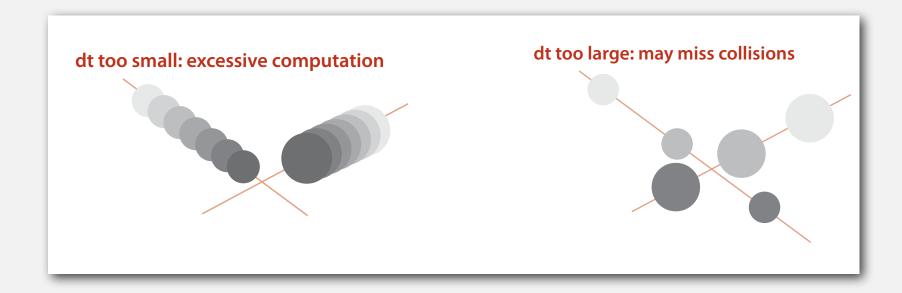
- Discretize time in quanta of size dt.
- Update the position of each particle after every dt units of time, and check for overlaps.
- If overlap, roll back the clock to the time of the collision, update the velocities of the colliding particles, and continue the simulation.



Time-driven simulation

Main drawbacks.

- $\sim N^2/2$ overlap checks per time quantum.
- Simulation is too slow if dt is very small.
- May miss collisions if dt is too large. (if colliding particles fail to overlap when we are looking)



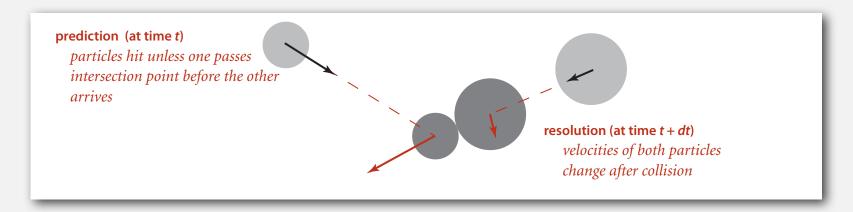
Event-driven simulation

Change state only when something happens.

- Between collisions, particles move in straight-line trajectories.
- Focus only on times when collisions occur.
- Maintain PQ of collision events, prioritized by time.
- Remove the min = get next collision.

Collision prediction. Given position, velocity, and radius of a particle, when will it collide next with a wall or another particle?

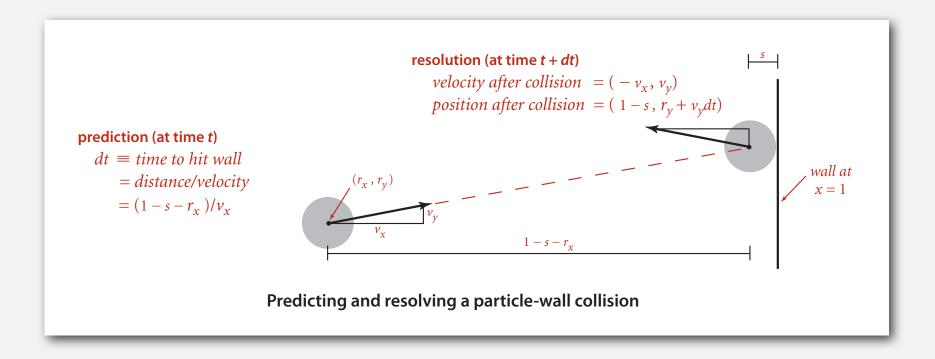
Collision resolution. If collision occurs, update colliding particle(s) according to laws of elastic collisions.



Particle-wall collision

Collision prediction and resolution.

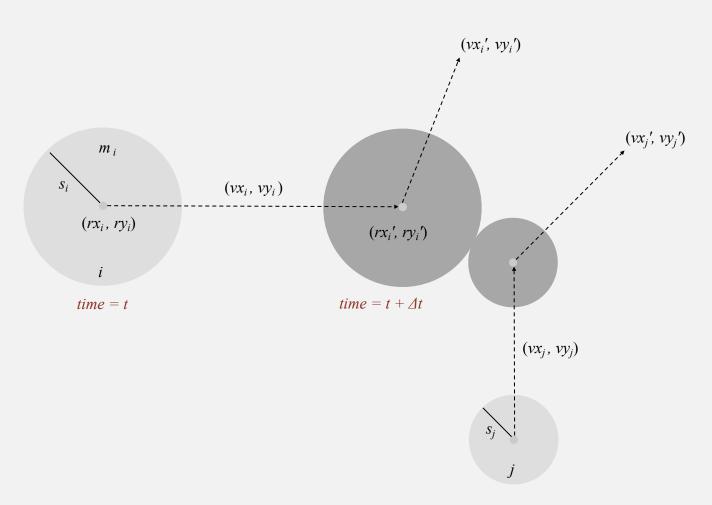
- Particle of radius s at position (rx, ry).
- Particle moving in unit box with velocity (vx, vy).
- Will it collide with a vertical wall? If so, when?



Particle-particle collision prediction

Collision prediction.

- Particle i: radius s_i , position (rx_i, ry_i) , velocity (vx_i, vy_i) .
- Particle j: radius s_j , position (rx_j, ry_j) , velocity (vx_j, vy_j) .
- Will particles i and j collide? If so, when?



Particle-particle collision prediction

Collision prediction.

- Particle i: radius s_i , position (rx_i, ry_i) , velocity (vx_i, vy_i) .
- Particle j: radius s_j , position (rx_j, ry_j) , velocity (vx_j, vy_j) .
- Will particles i and j collide? If so, when?

$$\Delta t = \begin{cases} \infty & \text{if } \Delta v \cdot \Delta r \ge 0 \\ \infty & \text{if } d < 0 \\ -\frac{\Delta v \cdot \Delta r + \sqrt{d}}{\Delta v \cdot \Delta v} & \text{otherwise} \end{cases}$$

$$d = (\Delta v \cdot \Delta r)^2 - (\Delta v \cdot \Delta v) (\Delta r \cdot \Delta r - \sigma^2) \qquad \sigma = \sigma_i + \sigma_j$$

$$\Delta v = (\Delta vx, \ \Delta vy) = (vx_i - vx_j, \ vy_i - vy_j)$$

$$\Delta r = (\Delta rx, \ \Delta ry) = (rx_i - rx_j, \ ry_i - ry_j)$$

$$\Delta v \cdot \Delta v = (\Delta vx)^2 + (\Delta vy)^2$$

$$\Delta r \cdot \Delta r = (\Delta rx)^2 + (\Delta ry)^2$$

$$\Delta v \cdot \Delta r = (\Delta vx)(\Delta rx) + (\Delta vy)(\Delta ry)$$

Particle-particle collision resolution

Collision resolution. When two particles collide, how does velocity change?

$$vx_i' = vx_i + Jx / m_i$$

 $vy_i' = vy_i + Jy / m_i$
 $vx_j' = vx_j - Jx / m_j$
 $vy_j' = vy_j - Jy / m_j$
Newton's second law (momentum form)

$$Jx = \frac{J \Delta rx}{\sigma}, Jy = \frac{J \Delta ry}{\sigma}, J = \frac{2 m_i m_j (\Delta v \cdot \Delta r)}{\sigma (m_i + m_j)}$$

impulse due to normal force

(conservation of energy, conservation of momentum)

Particle data type skeleton

```
public class Particle
   private double rx, ry;  // position
   private double vx, vy;  // velocity
   private final double radius; // radius
   private final double mass; // mass
   public Particle(...) { }
   public void move(double dt) { }
   public void draw()
   public double timeToHit(Particle that)
                                                            predict collision
   public double timeToHitVerticalWall()
                                         { }
                                                            with particle or wall
   public double timeToHitHorizontalWall() { }
                                                            resolve collision
   public void bounceOff(Particle that)
                                         { }
                                                            with particle or wall
   public void bounceOffVerticalWall()
                                         { }
   public void bounceOffHorizontalWall()
                                         { }
```

Particle-particle collision and resolution implementation

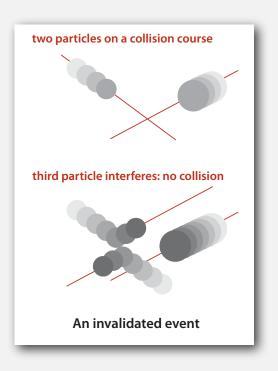
```
public double timeToHit(Particle that)
   if (this == that) return INFINITY;
   double dx = that.rx - this.rx, dy = that.ry - this.ry;
   double dvx = that.vx - this.vx; dvy = that.vy - this.vy;
   double dvdr = dx*dvx + dy*dvy;
   if ( dvdr > 0) return INFINITY; ←
                                                           no collision
   double dvdv = dvx*dvx + dvv*dvy;
   double drdr = dx*dx + dy*dy;
   double sigma = this.radius + that.radius;
   double d = (dvdr*dvdr) - dvdv * (drdr - sigma*sigma);
   if (d < 0) return INFINITY;
   return - (dvdr + Math.sqrt(d)) / dvdv;
}
public void bounceOff(Particle that)
{
   double dx = that.rx - this.rx, dy = that.ry - this.ry;
   double dvx = that.vx - this.vx, dvy = that.vy - this.vy;
   double dvdr = dx*dvx + dy*dvy;
   double dist = this.radius + that.radius;
   double J = 2 * this.mass * that.mass * dvdr / ((this.mass + that.mass) * dist);
   double Jx = J * dx / dist;
   double Jy = J * dy / dist;
   this.vx += Jx / this.mass;
   this.vy += Jy / this.mass;
   that.vx -= Jx / that.mass;
   that.vy -= Jy / that.mass;
   this.count++;
   that.count++;
                  Important note: This is high-school physics, so we won't be testing you on it!
```

Collision system: event-driven simulation main loop

Initialization.

- Fill PQ with all potential particle-wall collisions.
- Fill PQ with all potential particle-particle collisions.

"potential" since collision may not happen if some other collision intervenes



Main loop.

- Delete the impending event from PQ (min priority = t).
- If the event has been invalidated, ignore it.
- Advance all particles to time t, on a straight-line trajectory.
- Update the velocities of the colliding particle(s).
- Predict future particle-wall and particle-particle collisions involving the colliding particle(s) and insert events onto PQ.

Event data type

Conventions.

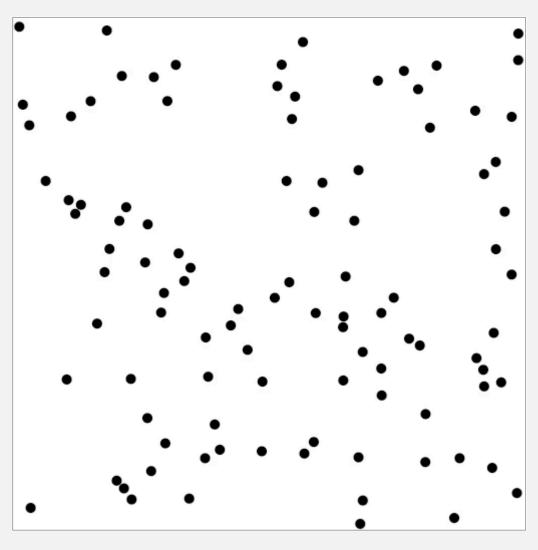
- Neither particle $null \Rightarrow particle-particle collision$.
- One particle $null \Rightarrow particle-wall collision$.
- Both particles null ⇒ redraw event.

```
public class CollisionSystem
{
   private MinPQ<Event> pq;  // the priority queue
   private double t = 0.0;  // simulation clock time
   private Particle[] particles; // the array of particles
    public CollisionSystem(Particle[] particles) { }
    private void predict(Particle a)
                                            add to PQ all particle-wall and particle-
                                            particle collisions involving this particle
       if (a == null) return;
       for (int i = 0; i < N; i++)
          double dt = a.timeToHit(particles[i]);
          pq.insert(new Event(t + dt, a, particles[i]));
       pq.insert(new Event(t + a.timeToHitVerticalWall() , a, null));
       pq.insert(new Event(t + a.timeToHitHorizontalWall(), null, a));
    private void redraw() { }
   public void simulate() { /* see next slide */ }
}
```

Collision system implementation: main event-driven simulation loop

```
public void simulate()
                                                                                 initialize PQ with
   pq = new MinPQ<Event>();
                                                                                 collision events and
   for(int i = 0; i < N; i++) predict(particles[i]);</pre>
                                                                                 redraw event
   pq.insert(new Event(0, null, null));
   while(!pq.isEmpty())
      Event event = pq.delMin();
                                                                                  get next event
      if(!event.isValid()) continue;
      Particle a = event.a;
      Particle b = event.b;
      for (int i = 0; i < N; i++)
                                                                                  update positions
         particles[i].move(event.time - t);
                                                                                 and time
      t = event.time;
               (a != null && b != null) a.bounceOff(b);
      if
                                                                                 process event
      else if (a != null && b == null) a.bounceOffVerticalWall()
      else if (a == null && b != null) b.bounceOffHorizontalWall();
      else if (a == null && b == null) redraw();
                                                                                  predict new events
      predict(a);
                                                                                  based on changes
      predict(b);
```

% java CollisionSystem 100





% java CollisionSystem < brownian.txt</pre>

